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    UNITED STATES OF AMERICA
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                         UNITED STATES DISTRICT COURT
                    FOR THE CENTRAL DISTRICT OF CALIFORNIA
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    UNITED STATES OF AMERICA,
                                         No. CR 19-105-ODW
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              Plaintiff,
                                         PLEA AGREEMENT FOR DEFENDANT
                                         DAVID CLARE LOHR
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                   v.
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    DAVID CLARE LOHR,
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              Defendant.
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         1.
              This constitutes the plea agreement between DAVID CLARE
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1. This constitutes the plea agreement between DAVID CLARE LOHR ("defendant") and the United States Attorney's Office for the Central District of California ("the USAO") in the above-captioned case. This agreement is limited to the USAO and cannot bind any other federal, state, local, or foreign prosecuting, enforcement, administrative, or regulatory authorities.

#### DEFENDANT'S OBLIGATIONS

2. Defendant agrees to:

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a. At the earliest opportunity requested by the USAO and provided by the Court, appear and plead guilty to counts one, five, and six of the indictment in United States v. David Clare Lohr, CR

No. 19-105-ODW, which charge defendant with tampering with consumer products in violation of 18 U.S.C. \$ 1365(a).

- b. Not contest facts agreed to in this agreement.
- c. Abide by all agreements regarding sentencing contained in this agreement.
- d. Appear for all court appearances, surrender as ordered for service of sentence, obey all conditions of any bond, and obey any other ongoing court order in this matter.
- e. Be truthful at all times with the United States
  Probation and Pretrial Services Office and the Court.
- f. Pay the applicable special assessments at or before the time of sentencing unless defendant lacks the ability to pay and prior to sentencing submits a completed financial statement on a form to be provided by the USAO.
- g. Make restitution and not seek the discharge of any restitution obligation, in whole or in part, in any present or future bankruptcy proceeding.
- h. Agree to and not oppose the imposition of a three-year term of supervised release following any term of imprisonment imposed by the Court.
- i. Agree to and not oppose the imposition of the following conditions of probation or supervised release:
- i. Defendant shall participate in a psychological counseling and/or psychiatric treatment program, which may include inpatient treatment upon order of the Court, as approved and directed by the Probation Officer. Defendant shall abide by all rules, requirements, and conditions of such program, including submission to risk assessment evaluations and physiological testing. The Probation

Officer shall disclose the presentence report and/or any previous mental health evaluations or reports to the treatment provider.

- ii. As directed by the Probation Officer, defendant shall pay all or part of the costs of treating defendant's psychological/psychiatric disorder(s) to the aftercare contractor during the period of community supervision, pursuant to 18 U.S.C. § 3672. Defendant shall provide payment and proof of payment as directed by the Probation Officer.
- j. Not commit any crime; however, offenses that would be excluded for sentencing purposes under United States Sentencing Guidelines ("U.S.S.G." or "Sentencing Guidelines") § 4A1.2(c) are not within the scope of this agreement.

#### THE USAO'S OBLIGATIONS

3. The USAO agrees to:

- a. Not contest facts agreed to in this agreement.
- b. Abide by all agreements regarding sentencing contained in this agreement.
- c. At the time of sentencing, argue that the counts to which defendant is pleading guilty should be grouped together pursuant to U.S.S.G. § 3D1.2.
- d. At the time of sentencing, move to dismiss the remaining counts of the indictment as against defendant. Defendant agrees, however, that at the time of sentencing the Court may consider any dismissed charges in determining the applicable Sentencing Guidelines range, the propriety and extent of any departure from that range, and the sentence to be imposed.
- e. At the time of sentencing, provided that defendant demonstrates an acceptance of responsibility for the offenses up to

- and including the time of sentencing, recommend a two-level reduction in the applicable Sentencing Guidelines offense level, pursuant to U.S.S.G. § 3E1.1, and recommend and, if necessary, move for an additional one-level reduction if available under that section.
- f. Recommend that, upon his release from custody, defendant reside and receive support services via Care Industry 2 and mental health treatment from Augustus F. Hawkins Mental Health Center, located on 1220 E. Martin Luther King Jr. Blvd, Los Angeles, CA 90011.
- g. Recommend that defendant be sentenced to a term of imprisonment no higher than the low end of the applicable Sentencing Guidelines range.

## NATURE OF THE OFFENSES

4. Defendant understands that for defendant to be guilty of the crime charged in counts one, five, and six that is, tampering with consumer products, in violation of Title 18, United States Code, Section 1365(a), the following must be true: (1) defendant acted with reckless disregard for the risk that another person will be placed in danger of death or bodily injury; (2) defendant acted under circumstances manifesting extreme indifference to the risk of death or bodily injury; (3) defendant tampered with a consumer product or the labeling or container of the product; and (4) the consumer product affected interstate or foreign commerce.

#### PENALTIES AND RESTITUTION

5. Defendant understands that the statutory maximum sentence that the Court can impose for each violation of Title 18, United States Code, Section 1365(a), is: 10 years' imprisonment; a three-year period of supervised release; a fine of \$250,000 or twice the

gross gain or gross loss resulting from the offense, whichever is greatest; and a mandatory special assessment of \$100.

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- 6. Defendant understands, therefore, that the total maximum sentence for all offenses to which defendant is pleading guilty is:
  30 years' imprisonment; a three-year period of supervised release; a fine of \$750,000 or twice the gross gain or gross loss resulting from the offenses, whichever is greatest; and a mandatory special assessment of \$300.
- 7. Defendant understands that defendant will be required to pay full restitution to the victims of the offenses to which defendant is pleading guilty. Defendant agrees that, in return for the USAO's compliance with its obligations under this agreement, the Court may order restitution to persons other than the victim(s) of the offenses to which defendant is pleading guilty and in amounts greater than those alleged in the counts to which defendant is pleading guilty. In particular, defendant agrees that the Court may order restitution to any victim of any of the following for any losses suffered by that victim as a result: (a) any relevant conduct, as defined in U.S.S.G. § 1B1.3, in connection with the offenses to which defendant is pleading quilty; and (b) any counts dismissed pursuant to this agreement as well as all relevant conduct, as defined in U.S.S.G. § 1B1.3, in connection with those counts. parties currently believe that the applicable amount of restitution is approximately \$1,000, but recognize and agree that this amount could change based on facts that come to the attention of the parties prior to sentencing.
- 8. Defendant understands that supervised release is a period of time following imprisonment during which defendant will be subject

to various restrictions and requirements. Defendant understands that if defendant violates one or more of the conditions of any supervised release imposed, defendant may be returned to prison for all or part of the term of supervised release authorized by statute for the offense that resulted in the term of supervised release, which could result in defendant serving a total term of imprisonment greater than the statutory maximum stated above.

- 9. Defendant understands that, by pleading guilty, defendant may be giving up valuable government benefits and valuable civic rights, such as the right to vote, the right to possess a firearm, the right to hold office, and the right to serve on a jury. Defendant understands that once the Court accepts defendant's guilty plea, it will be a federal felony for defendant to possess a firearm or ammunition. Defendant understands that the conviction in this case may also subject defendant to various other collateral consequences, including but not limited to revocation of probation, parole, or supervised release in another case and suspension or revocation of a professional license. Defendant understands that unanticipated collateral consequences will not serve as grounds to withdraw defendant's guilty plea.
- 10. Defendant understands that, if defendant is not a United States citizen, the felony conviction in this case may subject defendant to: removal, also known as deportation, which may, under some circumstances, be mandatory; denial of citizenship; and denial of admission to the United States in the future. The Court cannot, and defendant's attorney also may not be able to, advise defendant fully regarding the immigration consequences of the felony conviction in this case. Defendant understands that unexpected immigration

consequences will not serve as grounds to withdraw defendant's guilty plea.

#### FACTUAL BASIS

11. Defendant admits that defendant is, in fact, guilty of the offenses to which defendant is agreeing to plead guilty. Defendant and the USAO agree to the statement of facts provided below and agree that this statement of facts is sufficient to support pleas of guilty to the charges described in this agreement and to establish the Sentencing Guidelines factors set forth in paragraph 13 below but is not meant to be a complete recitation of all facts relevant to the underlying criminal conduct or all facts known to either party that relate to that conduct.

In a series of incidents that began no later than April 22, 2016, defendant, with reckless disregard for the risk that another person would be placed in danger of death or bodily injury, and under circumstances manifesting extreme indifference to such risk, knowingly and intentionally tampered with and attempted to tamper with consumer products and the containers of such products affecting interstate commerce.

In particular, on April 22, 2016, at a grocery store in Dana Point, California, defendant opened a bottle of Clorox bleach and poured the bleach into a freezer containing bagged ice sold to consumers. Similarly, on November 10, 2018, at a grocery store in Los Angeles, California, defendant opened a bottle of Clorox bleach and poured the bleach into a refrigerated dairy section containing cheese sold to consumers. On November 28, 2018, at a grocery store in Manhattan Beach, California, defendant opened a bottle of Clorox bleach and poured the bleach into a refrigerator containing dairy

products sold to consumers. On December 14, 2018, at that same grocery store in Manhattan Beach, California, defendant again opened a bottle of Clorox bleach and poured the bleach into a refrigerator containing alcoholic beverages sold to consumers. In addition, on December 16, 2018, at a grocery store in Redondo Beach, California, defendant opened a bottle of Clorox bleach and poured the bleach into a freezer containing ice sold to consumers. On January 20, 2019, at a grocery store in West Hollywood, California, defendant again opened a bottle of Clorox bleach and poured the bleach into a freezer containing seafood sold to consumers.

During each of the incidents described above, the grocery stores were open to the public and customers were present inside the store in close proximity to the tampered consumer products. Defendant admits that he acted with reckless disregard for the risk that another person would be placed in danger of death or bodily injury, and under circumstances manifesting extreme indifference to such risk. Defendant further admits that he knowingly and intentionally tampered with and attempted to tamper with consumer products and the containers of such products, and that his crimes caused damages in the amount of at least \$790.

Defendant admits that his conduct affected interstate commerce. In particular, chemicals in Clorox bleach defendant poured on consumer products were manufactured in New York, Alabama, California, Kansas, and Illinois. All labels for the Clorox bleach bottles were made in Lacrosse, Wisconsin, and all Clorox bleach bottle caps were made in North Carolina. In addition, customer payments for the consumer products at the grocery stores mentioned above affect

interstate commerce by traveling or being transmitted from California to other states such as Ohio.

#### SENTENCING FACTORS

- 12. Defendant understands that in determining defendant's sentence the Court is required to calculate the applicable Sentencing Guidelines range and to consider that range, possible departures under the Sentencing Guidelines, and the other sentencing factors set forth in 18 U.S.C. § 3553(a). Defendant understands that the Sentencing Guidelines are advisory only, that defendant cannot have any expectation of receiving a sentence within the calculated Sentencing Guidelines range, and that after considering the Sentencing Guidelines and the other § 3553(a) factors, the Court will be free to exercise its discretion to impose any sentence it finds appropriate up to the maximum set by statute for the crimes of conviction.
- 13. Defendant and the USAO agree to the following applicable Sentencing Guidelines factors:

Base Offense Level: 25 U.S.S.G. § 2N1.1

Provided that defendant complies with all the conditions set forth in paragraph 2, the USAO further agrees to recommend, pursuant to the factors set forth in 18 U.S.C. § 3553(a), that the Court make an additional five-level downward variance in defendant's total offense level. Defendant and the USAO reserve the right to argue that additional specific offense characteristics, adjustments, and departures under the Sentencing Guidelines are appropriate.

Defendant understands that defendant's offense level could be increased if defendant is a career offender under U.S.S.G. §§ 4B1.1 and 4B1.2. If defendant's offense level is so altered, defendant and

the USAO will not be bound by the agreement to Sentencing Guideline factors set forth above.

- 14. Defendant understands that there is no agreement as to defendant's criminal history or criminal history category.
- 15. Defendant and the USAO reserve the right to argue for a sentence outside the sentencing range established by the Sentencing Guidelines based on the factors set forth in 18 U.S.C.  $\S$  3553(a)(1), (a)(2), (a)(3), (a)(6), and (a)(7).

## WAIVER OF CONSTITUTIONAL RIGHTS

- 16. Defendant understands that by pleading guilty, defendant gives up the following rights:
  - a. The right to persist in a plea of not guilty.
  - b. The right to a speedy and public trial by jury.
- c. The right to be represented by counsel and if necessary have the Court appoint counsel at trial. Defendant understands, however, that, defendant retains the right to be represented by counsel and if necessary have the Court appoint counsel at every other stage of the proceeding.
- d. The right to be presumed innocent and to have the burden of proof placed on the government to prove defendant guilty beyond a reasonable doubt.
- e. The right to confront and cross-examine witnesses against defendant.
- f. The right to testify and to present evidence in opposition to the charges, including the right to compel the attendance of witnesses to testify.

g. The right not to be compelled to testify, and, if defendant chose not to testify or present evidence, to have that choice not be used against defendant.

h. Any and all rights to pursue any affirmative defenses, Fourth Amendment or Fifth Amendment claims, and other pretrial motions that have been filed or could be filed.

### WAIVER OF APPEAL OF CONVICTION

17. Defendant understands that, with the exception of an appeal based on a claim that defendant's guilty pleas were involuntary, by pleading guilty defendant is waiving and giving up any right to appeal defendant's convictions on the offenses to which defendant is pleading guilty. Defendant understands that this waiver includes, but is not limited to, arguments that the statute to which defendant is pleading guilty is unconstitutional, and any and all claims that the statement of facts provided herein is insufficient to support defendant's pleas of guilty.

## LIMITED MUTUAL WAIVER OF APPEAL OF SENTENCE

18. Defendant agrees that, provided the Court imposes a term of imprisonment within or below the range corresponding to an offense level of 17 and the criminal history category calculated by the Court, defendant gives up the right to appeal all of the following:

(a) the procedures and calculations used to determine and impose any portion of the sentence; (b) the term of imprisonment imposed by the Court; (c) the fine imposed by the Court, provided it is within the statutory maximum; (d) to the extent permitted by law, the constitutionality or legality of defendant's sentence, provided it is within the statutory maximum; (e) the amount and terms of any restitution order, provided it requires payment of no more than

- \$1000; (f) the term of probation or supervised release imposed by the Court, provided it is within the statutory maximum; and (g) any of the following conditions of probation or supervised release imposed by the Court: the conditions set forth in General Order 20-04 of this Court; the drug testing conditions mandated by 18 U.S.C. §§ 3563(a)(5) and 3583(d); the conditions set forth in paragraph (2)(i) above; and the alcohol and drug use conditions authorized by 18 U.S.C. § 3563(b)(7).
- 19. The USAO agrees that, provided (a) all portions of the sentence are at or below the statutory maximum specified above, the USAO gives up its right to appeal any portion of the sentence, with the exception that the USAO reserves the right to appeal the following: (a) the amount of restitution ordered if that amount is less than \$500.

#### RESULT OF WITHDRAWAL OF GUILTY PLEA

20. Defendant agrees that if, after entering guilty pleas pursuant to this agreement, defendant seeks to withdraw and succeeds in withdrawing defendant's guilty pleas on any basis other than a claim and finding that entry into this plea agreement was involuntary, then (a) the USAO will be relieved of all of its obligations under this agreement; and (b) should the USAO choose to pursue any charge that was either dismissed or not filed as a result of this agreement, then (i) any applicable statute of limitations will be tolled between the date of defendant's signing of this agreement and the filing commencing any such action; and (ii) defendant waives and gives up all defenses based on the statute of limitations, any claim of pre-indictment delay, or any speedy trial claim with respect to any such action, except to the extent

that such defenses existed as of the date of defendant's signing this agreement.

#### RESULT OF VACATUR, REVERSAL OR SET-ASIDE

21. Defendant agrees that if any count of conviction is vacated, reversed, or set aside, the USAO may: (a) ask the Court to resentence defendant on any remaining counts of conviction, with both the USAO and defendant being released from any stipulations regarding sentencing contained in this agreement, (b) ask the Court to void the entire plea agreement and vacate defendant's guilty pleas on any remaining counts of conviction, with both the USAO and defendant being released from all their obligations under this agreement, or (c) leave defendant's remaining convictions, sentence, and plea agreement intact. Defendant agrees that the choice among these three options rests in the exclusive discretion of the USAO.

#### EFFECTIVE DATE OF AGREEMENT

22. This agreement is effective upon signature and execution of all required certifications by defendant, defendant's counsel, and an Assistant United States Attorney.

## BREACH OF AGREEMENT

24. Defendant agrees that if defendant, at any time after the signature of this agreement and execution of all required certifications by defendant, defendant's counsel, and an Assistant United States Attorney, knowingly violates or fails to perform any of defendant's obligations under this agreement ("a breach"), the USAO may declare this agreement breached. All of defendant's obligations are material, a single breach of this agreement is sufficient for the USAO to declare a breach, and defendant shall not be deemed to have cured a breach without the express agreement of the USAO in writing.

If the USAO declares this agreement breached, and the Court finds such a breach to have occurred, then (a) if defendant has previously entered guilty pleas pursuant to this agreement, defendant will not be able to withdraw the guilty pleas; and (b) the USAO will be relieved of all its obligations under this agreement.

- 23. Following the Court's finding of a knowing breach of this agreement by defendant, should the USAO choose to pursue any charge that was either dismissed or not filed as a result of this agreement, then:
- a. Defendant agrees that any applicable statute of limitations is tolled between the date of defendant's signing of this agreement and the filing commencing any such action.
- b. Defendant waives and gives up all defenses based on the statute of limitations, any claim of pre-indictment delay, or any speedy trial claim with respect to any such action, except to the extent that such defenses existed as of the date of defendant's signing this agreement.
- c. Defendant agrees that: (i) any statements made by defendant, under oath, at the guilty plea hearing (if such a hearing occurred prior to the breach); (ii) the agreed to factual basis statement in this agreement; and (iii) any evidence derived from such statements, shall be admissible against defendant in any such action against defendant, and defendant waives and gives up any claim under the United States Constitution, any statute, Rule 410 of the Federal Rules of Evidence, Rule 11(f) of the Federal Rules of Criminal Procedure, or any other federal rule, that the statements or any evidence derived from the statements should be suppressed or are inadmissible.

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# COURT AND UNITED STATES PROBATION AND PRETRIAL SERVICES OFFICE NOT PARTIES

- 24. Defendant understands that the Court and the United States
  Probation and Pretrial Services Office are not parties to this
  agreement and need not accept any of the USAO's sentencing
  recommendations or the parties' agreements to facts or sentencing
  factors.
- 25. Defendant understands that both defendant and the USAO are free to: (a) supplement the facts by supplying relevant information to the United States Probation and Pretrial Services Office and the Court, specifically concerning Defendant's diagnosed mental condition and relation to the offense, (b) correct any and all factual misstatements relating to the Court's Sentencing Guidelines calculations and determination of sentence, and (c) argue on appeal and collateral review that the Court's Sentencing Guidelines calculations and the sentence it chooses to impose are not error, although each party agrees to maintain its view that the calculations in paragraph 13 are consistent with the facts of this case. While this paragraph permits both the USAO and defendant to submit full and complete factual information to the United States Probation and Pretrial Services Office and the Court, even if that factual information may be viewed as inconsistent with the facts agreed to in this agreement, this paragraph does not affect defendant's and the USAO's obligations not to contest the fact agreed to in this agreement.
- 26. Defendant understands that even if the Court ignores any sentencing recommendation, finds facts or reaches conclusions different from those agreed to, and/or imposes any sentence up to the

maximum established by statute, defendant cannot, for that reason, withdraw defendant's guilty pleas, and defendant will remain bound to fulfill all defendant's obligations under this agreement. Defendant understands that no one -- not the prosecutor, defendant's attorney, or the Court -- can make a binding prediction or promise regarding the sentence defendant will receive, except that it will be within the statutory maximum.

## NO ADDITIONAL AGREEMENTS

27. Defendant understands that, except as set forth herein, there are no promises, understandings, or agreements between the USAO and defendant or defendant's attorney, and that no additional promise, understanding, or agreement may be entered into unless in a writing signed by all parties or on the record in court.

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PLEA AGREEMENT PART OF THE GUILTY PLEA HEARING

28. The parties agree that this agreement will be considered part of the record of defendant's guilty plea hearing as if the entire agreement had been read into the record of the proceeding.

AGREED AND ACCEPTED

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UNITED STATES ATTORNEY'S OFFICE FOR THE CENTRAL DISTRICT OF CALIFORNIA

TRACY L. WILKISON

Acting United States Attorney

WILLIAM M. ROLLINS

Assistant United States Attorney

DAVID CLARE LOHR

Defendant/

MICHAEL L. BROWN

Deputy Federal Public Defender

Attorney for Defendant

07/02/21

Date

6-30-21

Date

6/30/2021

Date

## CERTIFICATION OF DEFENDANT

I have read this agreement in its entirety. I have had enough time to review and consider this agreement, and I have carefully and thoroughly discussed every part of it with my attorney. I understand the terms of this agreement, and I voluntarily agree to those terms. I have discussed the evidence with my attorney, and my attorney has advised me of my rights, of possible pretrial motions that might be filed, of possible defenses that might be asserted either prior to or at trial, of the sentencing factors set forth in 18 U.S.C. § 3553(a), of relevant Sentencing Guidelines provisions, and of the consequences of entering into this agreement. No promises, inducements, or representations of any kind have been made to me other than those

contained in this agreement. No one has threatened or forced me in any way to enter into this agreement. I am satisfied with the representation of my attorney in this matter, and I am pleading quilty because I am quilty of the charges and wish to take advantage of the promises set forth in this agreement, and not for any other reason.

6-30-21

DAVID CLARE LOHR

Defendant

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## CERTIFICATION OF DEFENDANT'S ATTORNEY

I am DAVID CLARE LOHR's attorney. I have carefully and thoroughly discussed every part of this agreement with my client. Further, I have fully advised my client of his rights, of possible pretrial motions that might be filed, of possible defenses that might be asserted either prior to or at trial, of the sentencing factors set forth in 18 U.S.C. § 3553(a), of relevant Sentencing Guidelines provisions, and of the consequences of entering into this agreement. To my knowledge: no promises, inducements, or representations of any kind have been made to my client other than those contained in this agreement; no one has threatened or forced my client in any way to enter into this agreement; my client's decision to enter into this agreement is an informed and voluntary one; and the factual basis set forth in this agreement is sufficient to support my client's entry of quilty pleas pursuant to this agreement.

MICHAEL L. BROWN

Deputy Federal Public Defender

Attorney for Defendant DAVID CLARE LOHR

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7/2/2021 Date

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