

## Financial Disclosures

## Periodic Transaction Report for 11/03/2022

The Honorable Thomas R Carper (Carper, Thomas R.)

Filed 11/03/2022 @ 12:25 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (34 transactions total) 0 Self 0 Joint 34 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
33	10/17/2022	Spouse	--	US TREASURY BILL	Other Securities	Purchase	\$15,001 - -\$50,000	--
34	10/27/2022	Spouse	<u>BMN</u>	BlackRock 2037 Municipal Target Term Trust Common	Stock	Purchase	\$1,001 - \$15,000	--
32	10/17/2022	Spouse	<u>MBXIX</u>	Catalyst/Millburn Hedge Strategy Fund Class I	Other Securities	Purchase	\$1,001 - \$15,000	--
31	10/17/2022	Spouse	<u>GSMYX</u>	Goldman Sachs Small/Mid-Cap Growth Fund Inst Cl	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
30	10/17/2022	Spouse	<u>SBPYX</u>	Clearbridge Small Cap Growth Fd Cl I	Other Securities	Purchase	\$1,001 - \$15,000	--
29	10/17/2022	Spouse	--	FIMKX - Fidelity Advisor Focused Emerging Markets I	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
28	10/17/2022	Spouse	<u>FCPIX</u>	Fidelity Advisor International Capital Appreciat	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
27	10/17/2022	Spouse	<u>VZ</u>	Verizon Communications Inc. Common	Stock	Sale (Full)	\$1,001 - \$15,000	--

Stock

26	10/17/2022	Spouse	<u>CVS</u>	CVS Health Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
25	10/17/2022	Spouse	<u>DLR</u>	Digital Realty Trust, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	10/17/2022	Spouse	<u>TSM</u>	Taiwan Semiconductor Manufacturing Company Ltd.	Stock	Purchase	\$1,001 - \$15,000	--
23	10/17/2022	Spouse	<u>MPW</u>	Medical Properties Trust, Inc. common stock	Stock	Purchase	\$1,001 - \$15,000	--
22	10/17/2022	Spouse	<u>SRVR</u>	Pacer Benchmark Data & Infrastructure Real Estate	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
21	10/17/2022	Spouse	<u>LDP</u>	Cohen & Steers Limited Duration Preferred and Inco	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	10/17/2022	Spouse	<u>EFAD</u>	ProShares Trust ProShares MSCI EAFE Dividend Growe	Other Securities	Purchase	\$1,001 - \$15,000	--
19	10/17/2022	Spouse	<u>GMRE</u>	Global Medical REIT Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
18	10/17/2022	Spouse	<u>JHEM</u>	John Hancock Multifactor Emerging Markets ETF	Other Securities	Purchase	\$1,001 - \$15,000	--
17	10/17/2022	Spouse	<u>ABT</u>	Abbott Laboratories Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
16	10/17/2022	Spouse	<u>DIS</u>	Walt Disney Company (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	10/17/2022	Spouse	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
14	10/10/2022	Spouse	<u>HDGE</u>	Ranger Equity Bear Bear ETF	Other Securities	Purchase	\$1,001 - \$15,000	--
13	10/10/2022	Spouse	<u>HDGE</u>	Ranger Equity Bear Bear ETF	Other Securities	Purchase	\$1,001 - \$15,000	--
12	10/10/2022	Spouse	<u>PSQ</u>	ProShares Short QQQ	Other Securities	Sale (Full)	\$1,001 - \$15,000	--

11	10/10/2022	Spouse	<u>HDGE</u>	Ranger Equity Bear Bear ETF	Other Securities	Purchase	\$1,001 - \$15,000	--
10	10/10/2022	Spouse	<u>HDGE</u>	Ranger Equity Bear Bear ETF	Other Securities	Purchase	\$15,001 - \$50,000	--
9	10/05/2022	Spouse	<u>PSQ</u>	ProShares Short QQQ	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
8	10/05/2022	Spouse	<u>PSQ</u>	ProShares Short QQQ	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
7	10/05/2022	Spouse	<u>SH</u>	ProShares Short S&P500	Other Securities	Sale (Full)	\$1,001 - \$15,000	--
6	10/05/2022	Spouse	<u>HDGE</u>	Ranger Equity Bear Bear ETF	Other Securities	Purchase	\$1,001 - \$15,000	--
5	10/05/2022	Spouse	<u>PSQ</u>	ProShares Short QQQ	Other Securities	Sale (Full)	\$15,001 - \$50,000	--
4	10/04/2022	Spouse	<u>HQL</u>	TeklaLife Sciences Investors Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
3	10/04/2022	Spouse	--	JPM Contingent Autocall C <i>Rate/Coupon:</i> 10% <i>Matures:</i> 04/01/2024	Corporate Bond	Sale (Full)	\$1,001 - \$15,000	--
2	10/04/2022	Spouse	<u>NID</u>	Nuveen Intermediate Duration Municipal Term Fund C	Stock	Sale (Full)	\$15,001 - \$50,000	--
1	10/04/2022	Spouse	<u>SBIO</u>	Alps Medical Breakthroughs ETF	Other Securities	Sale (Full)	\$1,001 - \$15,000	--