

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Campbell, Kurt

Senior Coordinator for Indo Pacific Affairs, Deputy Asst. to the President, National Security Council - Biden-Harris Administration

Date of Appointment: 01/20/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Campbell, Kurt [electronically signed on 01/22/2021 by Campbell, Kurt in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Palomino, Jeffrey G, Certifying Official [electronically signed on 03/18/2021 by Palomino, Jeffrey G in Integrity.gov]

Other review conducted by

/s/ Palomino, Jeffrey G, Ethics Official [electronically signed on 03/18/2021 by Palomino, Jeffrey G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 03/16/2021

Data Revised 03/05/2021

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Asia Group LLC	Washington, District of Columbia	LLC	Partner	1/2013	1/2021
2	The Asia Group Capital Advisory Partners LLC	Washington, District of Columbia	LLC	Partner	7/2014	1/2021
3	Lixil Corporation	Tokyo	Corporation	Board of Director	7/2019	6/2020

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	iShares US Preferred Income	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.2	Vanguard Intermediate-Term Corp Bd ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.3	Lord Abbett Short Duration Income CI I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.4	Vanguard Short-Term Bond	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
1.5	Vanguard REIT Index ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.6	iShares iBonds Dec 2020 Term Corporate ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	JPMorgan Ultra-Short Income ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
1.8	Vanguard Intermediate-Term Corp Bd ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.9	Schwab Bank Deposit Account	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	Vanguard Corp Bond ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
1.11	iShares iBonds Dec 2021 Term Corporate ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.12	iShares iBonds Dec 2022 Term Corporate ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.13	Lord Abbett Ultra Short Bond	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.14	Akre Focus FD Inst	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.15	First Eagle Overseas Class I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.16	AMG Yacktman Fund I	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
1.17	Goldman Sachs International Small Cap Insights I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.18	JOHCM International Select I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.19	JPMorgan Mid Cap Value Instl	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.20	Oppenheimer Developing Mkts	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.21	Tweedy Brown Global Value Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.22	Undiscovered Mgrs Behavioral Value Inst	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.23	T Rowe Price International Discovery Fund I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.24	iShares iBonds Dec 2023 Term Corporate	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.25	iShares iBonds Dec 2024 Term Corporate	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.26	Charles Schwab Cash Desp	Yes	\$100,001 - \$250,000		\$201 - \$1,000
2	403(b) #1	No			
2.1	Eaton Vance Large Cap Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Columbia Mid Cap Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	Thornburg International Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	403(b) #2	No			
3.1	TIAA Traditional Annuity	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
3.2	CREF Stock	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3.3	TIAA Real Estate	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.4	CREF Bond Market	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	CREF Money Market	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Asia Group LLC	Washington, District of Columbia	I will continue to participate in The Asia Group's current retirement plan. The plan sponsor will not make further contributions after my separation.	/
2	The Asia Group LLC	Washington, District of Columbia	Following my withdrawal from the Company, I will receive a lump-sum payment for the redemption of my membership interest.	1/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	The Asia Group LLC	Washington, District of Columbia	LLC Member
2	Aflac	Columbus, Georgia	Consulting services (client of The Asia Group, LLC)
3	Lockheed Martin	Bethesda, Maryland	Consulting services (client of The Asia Group, LLC)
4	McKinsey & Company Singapore Pte. Ltd	New York, New York	Consulting services (client of The Asia Group, LLC)
5	Northrop Grumman	Falls Church, Virginia	Consulting services (client of The Asia Group, LLC)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
6	Omnispace, LLC	Washington, District of Columbia	Consulting services (client of The Asia Group, LLC)
7	Paul, Weiss	New York, New York	Consulting services (client of The Asia Group, LLC)
8	Raytheon Company	Waltham, Massachusetts	Consulting services (client of The Asia Group, LLC)
9	Warner Bros. Entertainment Inc.	Washington, District of Columbia	Consulting services (client of The Asia Group, LLC)
10	Wynn Resorts, Limited	Las Vegas, Nevada	Consulting services (client of The Asia Group, LLC)
11	General Electric Company	Boston, Massachusetts	Consulting services (client of The Asia Group, LLC)
12	Itochu Aviation Inc.	El Segundo, California	Consulting services (client of The Asia Group, LLC)
13	L3 Technologies	New York, New York	Consulting services (client of The Asia Group, LLC)
14	Levi Strauss & Co.	San Francisco, California	Consulting services (client of The Asia Group, LLC)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	401(k) #1	No			
1.1	Vanguard Total Stockk Mkt Idx	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	IRA #2	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Fidelity Capital & Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Fidelity Asset Manager 50%	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	Fidelity Puritan	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	401(a) #1	No			
3.1	Vanguard 500 Index Fund Inv	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.2	Vanguard International Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	401(k) #2	No			
4.1	TIAA Traditional	No	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.2	CREF Stock	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.3	CREF Bond Market	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	TIAA Real Estate	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.5	CREF Growth	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
4.6	CREF Equity Index	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
4.7	CREF Global Equities	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.8	CREF Money Market	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Franklin #1	No			
5.1	Franklin Templeton Mutual Beacon Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	IRA #1	No			
6.1	Vanguard Dividend Growth Investor CI	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
6.2	Akre Focus FD Inst	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.3	First Eagle Overseas Class I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.4	Goldman Sach US Equ Dividend & Prem Fd I	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.5	iShares Core S&P Small Cap ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.6	iShares iBonds Dec 2020 Term Corporate	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.7	iShares iBonds Dec 2021 Term Corporate	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.8	iShares US Preferred Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.9	JPMorgan Ultra-Short Income ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.10	JOHCM International Select I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.11	JPMorgan Mid Cap Value Instl	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.12	Lord Abbett Ultashort Bond I	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.13	Lord Abbett Short Duration Income CI I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.14	Oppenheimer Developing Mkts	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.15	Tweedy Brown Global Value Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.16	Undiscovered Mgrs Behavioral Value Inst	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.17	Vanguard Short-Term Bond Index Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.18	Vanguard Short-Term Corp Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.19	Vanguard Intermediate-Term Corp Bd ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.20	Vanguard REIT Index ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.21	Vanguard Intermediate Term Bnd ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.22	AMG Yacktman Fund I	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.23	Charles Schwab Cash Deposits	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Massachusetts Institute of Technology, defined benefit plan(value not readily ascertainable)	N/A	None (or less than \$1,001)		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 Cash account	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	U.S. Bank #2 cash account	N/A	\$250,001 - \$500,000		\$5,001 - \$15,000
3	DC College Savings Plan - In-College Portfolio	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4	DC College Savings Plan - 2019 Portfolio	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
5	DC College Savings Plan - 2025 Portfolio	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
6	Partial Ownership of the Asia Group LLC, Washington DC (value of business is not readily ascertainable)				
7	Partial Ownership of The Asia Group Capital Advisory Partners LLC, Washington DC (value of business is not readily ascertainable)				
8	Partial Ownership of The Asia Group Capital Ventures LLC, Washington DC (value of business is not readily ascertainable)				
9	Partial Ownership of Sea Futures LLC, Arlington VA (value of business is not readily ascertainable)				
10	Vanguard Sh Term Tax Exempt	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11	Fidelity Intermediate Municipal Income	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12	iShares Core High Dividend ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
13	iShares Core S&P Small Cap ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	iShares Core Dividend	Yes	\$50,001 - \$100,000		\$201 - \$1,000
15	iShares Edge MSCI	Yes	\$50,001 - \$100,000		\$201 - \$1,000
16	Thornburg Ltd Term Muni	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
17	Vanguard Mid-Cap ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
18	SPDR S&P 500 ETF	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
19	SPDR S&P Dividend ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
20	Charles Schwab Cash Deposits	Yes	\$100,001 - \$250,000		\$201 - \$1,000
21	Goldman Sachs Intl Sm Cp Insights Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	Vanguard Consumer Staples ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
23	Vanguard REIT ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
24	Vanguard Consumer Discretionary ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
25	Goldman Sachs Emerging Markets Eq Instl	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
26	Vanguard Mid-Cap Value ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	Zions Bancorporation Natl Assn CD 2.7%20	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	Wells Fargo Bank Natl Assn CD 2.75%20	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
29	Akre Focus FD Inst	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
30	First Eagle Overseas Class I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
31	Goldman Sach US Equ Dividend & Prem Fd I	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
32	iShares Core S&P Small Cap ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
33	iShares US Preferred Income	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
34	JPMorgan Ultra-Short Income ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
35	JOHCM International Select I	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
36	Lord Abbett Ultashort Bond I	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
37	Tweedy Brown Global Value Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
38	Vanguard Short-Term Bond Index Fund	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
39	Vanguard Short-Term Corp Bond ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
40	Vanguard Intermediate-Term Corp Bd ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
41	AMG Yacktman Fund I	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	U.S. Brokerage #1 cash deposit accounts	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America, N.A., Richmond, VA	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2011	4.25	30 yrs

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
